# PCT

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



CA, CH, CN, CZ, DE, DK, BE, ES, FI, GB, GE, HU, IL,

# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY! (PCT)

(51) International Patent Classification 6: (11) International Publication Number: WO!96/41579 A1 A61B! 17/36

(43) International Publication Date:

27 December 1996 (27.12.96)

(74) Agent: FRENCH, Timothy, A.; Fish & Richardson P.C., 225 PCT/US96/10155 (21) International Application Number: Franklin Street, Boston, MA 02110 (US).

(22) International Filing Date: 12 June 1996 (12.06.96) (81) Designated States: AL, AM, AT, AU, AZ, BE, BG, BR, BY,

08/489,358 12 June 1995 (12.06.95) US IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian (60) Parent Application or Grant

(63) Related by Continuation patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European 08/489,358 (CIP) patent (AT, BE, CH, DE, DK, ES, Fl, FR, GB, GR, IE, IT, Filed on 12 June 1995 (12.06.95) LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI,

CM, GA, GN, ML, MR, NE, SN, TD, TG). (71) Applicant (for all/designated/States/except/US): THERMO-

LASE CORPORATION [US/US]; 10445 Pacific Center Court, San Diego, CA 92121-4339 (US).

(75) Inventors/Applicants (for US!only): TANKOVICH, Nikolai I. [RU/US]; 9361 Stargaje Avenue, San Diego, CA 92129

(US). SVERDRUP, Lawrence, H., Jr. [US/US]; 12455 Golden Eye Lane, Poway, CA 92064 (US). EPISCOPO, Richard, E. (US/US]; 4281 Pilon Point, San Diego, CA 92130 (US).

Published

With!international!search!report.

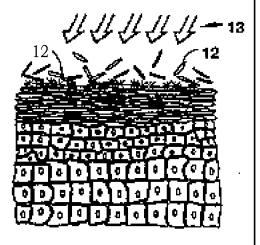
### (54) Title: SKIN TREATMENT PROCESS USING LASER

### (57) Abstract

(30) Priority Data:

(72) Inventors: and

This invention is a skin treatment process for the removal of superficial epidermal skin cells (12); the reduction or removal of unwanted hair (28); and the mitigation of skin conditions such as acne and seborrhea. A contaminant (4) having a high absorption at a wavelength of light is topically applied to a skin section. A preferred contaminant is a mixture of 20 % of one micron graphite particles in mineral oil. Portions of the contaminant are forced into spaces between the superficial epidermal skin cells, into hair ducts in the skin, and/or into adjacent sebaceous glands. The skin section is illuminated with laser pulses at the matching wavelength, so as to impart sufficient energy to the contaminant to cause explosion of the particles in the contaminant. The energy released by the explosions blows off layers of dead skin cells, and/or destroys tissue responsible for hair growth, and/or sebum production.



# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCI' on the front pages of pamphlets  $\$ publishing international applications under the PCI'.

AM	Armenia	GB	United Kingdom	MW	Malawi
ΑT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IΕ	Ireland	NZ	New Zealand
BC	Bulgaria	IT	Italy	$\mathbf{PL}$	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazaklutan	SI	Slovenia
CI	Cote d'tvoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	$\mathbf{U}\mathbf{A}$	Uktaine
ES	Spain	MG	Madagascar	UG	Uganda
Fl	Finland	ML	Mali	US	United States of Ameri
FR	France	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Viet Nam

-1-

## SKIN TREATMENT PROCESS USING LASER

This invention is a continuation in part of Serial No. 08/280928 filed 7/26/94, Serial No. 08/257,021 scheduled to issue as Patent No. 5,423,803 on 6/13/95, and Serial No. 08/005,810 filed 1/19/93, scheduled to issue Patent No. 5,425,728 on 6/20/95 which was a continuation in part of Serial No. 07/783.789 filed 10/29/91, now Patent No. 5,226,907 issued July 13, 1993. This invention relates to processes for skin treatment and in particular to such processes which will utilize lasers.

# BACKGROUND OF THE INVENTION

10

15

## The Skin

A section of human skin showing a cross section of one hair is shown in FIG. 1. FIG. 1 shows the hair shaft 33 of a hair growing in a hair duct 31, from dermal papilla 32, a nerve ending 34, a sweat gland 35 a sebaceous gland 38, arteries 36 and veins 37.

Three major concerns relating to human skin are (1) accumulation of excess layers of dead skin cells on middle age and elderly people which cause them to appear older, (2) skin conditions such as acne and seborrhea and (3) unwanted hair.

## Dead Layers of Skin

20 The epidermis, 39 in FIG. 1, of the human skin comprises several distinct layers of skin tissue. These layers of tissue are depicted in block diagram form in FIG. 2. The deepest layer is the stratum basale layer which consists of columnar cells. The next layer up is the stratum spinosum composed of polyhedral cells. Cells pushed up from the stratum spinosum are 25 flattened and synthesize keratohyalin granules to form the stratum granulosum layer. As these cells move outward they lose their nuclei and the keratohyalin granules fuse and mingle with tonofibrils. This forms a clear layer called the stratum lucidum. The cells of the stratum lucidum are closely packed. As the cells move up from the stratum lucidum they become compressed into many

-2-

layers of opaque sgnamas. These flattened cells have become completely filled with keratin and have lost all other internal structure, including nuclei. These squamas constitute the outer layer of the epidermis, the stratum corneum. At the bottom of the stratum corneum the cells are closely compacted and adhere 5 to one another strongly, but higher in the stratum they become loosely packed and eventually flake away at the surface. For example, in the cheek skin of a 50 year old face the outer layer of the stratum comeum typically consists of about 15 layers, and the layers flake away at the rate of about one or two layers per month. So we naturally get a completely new stratum corneum on 10 our faces about twice per year.

It is well known that the removal of a few surface layers of a person's skin will generally result in younger looking skin. Many techniques have been tried to produce this effect. A mild sunburn will cause slight blistering of the skin after which an outside layer of the skin peels off. This generally leaves a younger looking skin surface. Similar results can be obtained by abrasion processes such as actually scraping away the surface layer with an abrasive material such as fine sand paper.

Recent attempts have been made to utilize laser beams to "cook" the surface layer of skin. This cooking causes the skin to blister after which the 20 surface layers can be scraped away. Also, people have been experimenting with lasers which vaporize the outside surface. These prior art processes present some beneficial results but also provide potential risk to the patient. The slight sunburn presents a risk of underlying long term damage to the skin. Abrasion processes often result in bleeding and pain and sometimes infection, 25 scabbing, and slight scarring. Laser treatments can result in pain and undesired burning, and if not applied properly can result in bleeding and scarring.

### Acne and Seborrhea

Skin conditions such as acne and seborrhea are believed to be caused or exacerbated by excessive sebum flow produced by sebaceous glands

most of which are adjacent to and discharge sebum into, hair follicles. Sebum is composed of keratin, fat, and cellular debris. Sebum forms a moist, oily, acidic film that is mildly antibacterial and antifungal and may to some extent protect the skin against drying. The function of the sebum excretion in man is 5 controversial and it may very well serve no useful function whatsoever. It is known that the bacteria which cause acne is propionibacterium acne or (Pacnes). This bacteria grows in sebum. Significant sebum flow in humans begins at puberty. This is when acne problems arise. Males castrated before puberty do not develop acne or seborrhea.

Seborrhea is any of several common skin conditions in which there is an overproduction of sebum resulting in excessive oiliness or dry scales. Seborrhea includes seborrheic dermatitis (cradle cap, dandruff), seborrhea congestivea, seborrheic blepharitis, and seborrheic keratosis

## **Unwanted Hair**

15 Removal of unwanted hair is a large business in the United States.

Techniques include short term removal techniques such as shaving and plucking and long term (sometimes permanent) removal techniques such as electrolysis. Attempts have been made to use laser beams for hair removal.

Prior art methods for permanent or long term hair removal are generally

20 painful and very time consuming.

# **Graphite Particles**

It is known that graphite vaporizes at about 3,600°C. It is known that graphite is a strong absorber of infrared light and that infrared light such as the 1.06 micron laser beam produced by the Nd:YAG laser will penetrate 25 several millimeters through human skin.

# What is Needed

What is needed is a simple quick treatment process which could be used to treat all of the above skin conditions.

-4-

# SUMMARY OF TILE INVENTION

The present invention provides a very simple easily administered skin treatment process for (1) the removal of superficial epidermal skin cells in the human skin (2) the reduction or removal of unwanted hair and (3) the mitigation of skin conditions such as acne and seborrhea. A contaminant having a high absorption at at least one wavelength of light is topically applied to a section of the surface of the skin. A preferred contaminant is a mixture of 20% by weight of one micron graphite particles in mineral oil. Graphite is a very strong absorber of 1.06 micron light produced by the Nd:YAG laser.

10 Portions of the contaminant are forced to infiltrate into spaces between the superficial epidermal cells, into hair ducts in the skin and into and/or adjacent to sebaceous glands. The skin section is illuminated with short laser pulses at the matching wavelength, so as to impact sufficient energy to the contaminant to cause explosion in the contaminant. The energy released in the course of 15 the explosions may blow off layers of dead skin cells and/or destroy tissue responsible for hair growth and/or sebum production.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a skin section.

FIG. 2 is a block diagram.

FIGS. 3A-K demonstrate skin peeling.

FIGS. 4A-E demonstrates a hair removal.

FIGS. 5A-C demonstrates an alternative hair removal and acne treatment process.

FIG. 6 demonstrates a treatment wherein the hair is removed prior 25 to application of contaminant.

# DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Preferred embodiments of the present invention can be described by reference to the drawings.

-5-

# Nd:YAG! LASER! AND! CARBON! PARTICLES! IN! OIL Skin! Peeling

# Outer Layers of the Epidermis

A first preferred embodiment of the present invention can be
described by reference to FIGS. 3 through 3J. FIG. 3A shows a typical cross section of a section of the outer portion (the top three strata) of the human epidermis such as that in the skin of a 50 year old female's cheek. Shown is a representation of a 15-cell thick stratum comeum 1, and a 3-cell thick stratum lucidum 2, and a 3-cell thick stratum granulosum. The total thickness shown is about 100 microns (0.10 mm).

Individual cells of the stratum comeum have dimensions of about 10 to 15 microns long, about 5 microns wide and up to 2 microns thick. The cells of the upper layers are loosely stuck together. Spaces between the cells range from zero distance to about 1 or 2 microns.

# Application of Carbon Solution

15

The first step of this preferred embodiment is to topically apply a layer of carbon solution to the skin surface as shown in FIG. 3B. The solution is comprised of 1 micron graphite powder in baby oil. The graphite-oil ratio is 20 percent graphite suspended in 80 percent oil by weight. The next step FIG.

20 3C, is to force some of the carbon particles down below the surface of the stratum comeum. We prefer to do this with an ultrasound unit operating at 0.2 watts per cm<sup>2</sup> and 10 MHz.

We use a Hewlett Packard Model 3325A pulse generator and a Parametrics transducer model A5525. We have found that approximately 5 minutes of ultra sound treatments at this frequency will force a significant number of carbon particles down through several layers of the stratum comeum. The result of the ultrasound treatment is shown in FIG. 3D. This distribution of carbon particles has been demonstrated on pig skin.

Microscopic examination of biopsy samples from the pig skin show the 30 distribution depicted in FIG. 3D. As shown in FIG. 3D, two layers of graphite

- 6-

particles are left on the surface and a portion of the particles 6 are distributed below the surface.

### **Pulse Irradiation**

The next step is to irradiate the skin surface with Nd:YAG laser

5 pulses of about 3 J/cm² at a wavelength of 1.06 µm. Pulse frequency is about

5 Hz but we scan the beam so that each location is subjected to pulses at a
frequency of about 1 Hz. Graphite is very absorptive of laser energy at the

1.06 Am wavelength. The latent heat of vaporization is about 10<sup>5</sup> J/cm³ for
cold solid graphite. (The energy required to heat room temperature graphite

10 to the sublimination temperature is roughly 4% of the sublimination energy.)
Thus, to vaporize a 1 micron cube (10' 12 cm³) would require approximately 1e

J. The energy falling on the surface of the 1 micron particle (1 x 10\* cm²) in a
3J/cm² pulse is 3 x 10\* J, about one third of the energy needed to totally
vaporize the particle. Therefore, a significant portion of the particle is

15 vaporized. The energy is deposited in a few nanoseconds so there is no time
for the heat to diffuse; therefore, the particle explodes violently upon being
illuminated by the pulse. (Subsequent pulses will vaporize the smaller particles
created by the earlier pulses.)

Thus, as a result of the first pulse 7 the first layer of graphite

20 particles is exploded as shown at 8 in FIG. 3E. The second layer and the skin surface is effectively shielded from the first pulse 7 by the first layer. Some of the carbon particles above the skin have been pushed into the skin as a result of the shockwaves resulting from the explosion of the particle in the first layer. The second pulse 9 coming one second later, vaporizes the second layer as

25 shown at 10 in FIG. 3F. As before, additional particles are pushed into the skin. The skin is fairly effectively shielded from pulse 9 by the second layer. But the third pulse 11 interacts with the skin and the carbon particle below the skin. Laser energy at a 1.06 wavelength has an extinction length in human skin of several millimeters but it is highly absorbed in the graphite particles below

30 the surface and upon absorption of the energy from third pulse 11 as shown in

-7-

FIG.!3G,! thel particles! explode! violently! ripping! off! the! dead! cells! of! the stratum! comeum! which! lay! above! the! exploding! cells! all! as! shown! in! FIG.!3H.

A! few particles may be shielded! from pulse 11 but three! of! four additional pulses 13! will assure that essentially all graphite particles! are exploded as shown! in FIG.!3I.

FIG.!3J! shows! al cross section! view of the! skin surface! after! the! laser irradiation. This! drawing! is! based! on! pig! skin! biopsy! results! of! skin! treated! as described! above.! The! skin! is! washed! lightly! with! an! alcohol! soaked cloth and allowed! to! dry! resulting! in! al surface! as! shown! in! FIG.!3J.! The! depiction! as 10! shown! in! FIG.!3J! can! be! compared! with! that! of! FIG.!3A.! We! see! that about three! layers! of! the! dead! cells! in! the! stratum! comeum! have! been! removed. We have! observed similar effects! on! human! skin! tissue! in! connection! with! hair removal! clinical! experiments.! For! most! patients,! there! is! no! pain,! and! no unpleasant! feeling! of! heat.! There! is! no! significant! injury! to! the! skin! tissue.

15 The! Nd: YAG! laser! energy! which! was! not! absorbed! in! the! carbon! is! harmlessly dissipated! in! the! skin! and! tissue! below! the! skin.! It! is! preferable! to! provide! a slight! diverging! beam! to! assure! that! it! spreads! after! it! hits! the! skin.! In! our preferred! embodiment! the! spot! size! at! the! surface! is! 0.5! cm! (diameter)! and, before! interacting! with! the! skin,! is! spreading! at! 10! degrees.

# Preliminary!Biopsy!Studies

20

Biopsy studies! of both! pig! and human! skin conducted six weeks! after treatment confirm that there is no! significant! injury to! the! skin. Our preliminary! conclusions! from! these! studies! indicate! new! collagen! fiber formation! in! the! upper! part! of! the! dermis! immediately! below! the! epidermal basal! membrane.! These! preliminary! observations! indicate! an abundance of fibers which are! long,! wavy! and bound together. There appears to be! an increase! in! the! portion! of! young! collagen! fibers! in! the! samples. We! also observe! what! appears! to! be! an! increase! in! plasmocytes! and! young! fibrocytes. These! preliminary! observations! indicate! a! positive! effect! of! the! treatment! in 30! the! upper! layers! of! the! dermis! tissue. We! have! not! yet! developed! an

-8-

explanation for this indicated effect and we do not yet have sufficient experimental data to quantify the results.

### Hair Removal

FIGS. 4A-4E demonstrate treatment of the skin for hair removal. In 5 the process we use one of the same mixtures of one micron medical grade carbon (graphite) particles and mineral oil as we discuss above with respect to skin peeling. The composition is about 20% graphite by weight.

The hair in the to-be-treated is cut with a barber clipper to about a length of about 5 mm from the skin surface. The mixture is applied to the 10 area to be treated. The mixture is massaged into the skin with a cotton swab until the hair ducts in the to-be-treated area are infiltrated to an estimated depth of about 20 microns to several millimeters. This stage of the process is depicted in FIG. 4A. In addition to the mixture infiltrated in the hair ducts, a thin film of the carbon-oil mixture (for example, about 100 particles per cm<sup>2</sup>) 15 is left on the surface of the skin in the area to be treated.

The area to be treated is then illuminated with a pulsed laser beam from a Nd:YAG laser. Preferred beam specifications are as follows:

	Wavelength	1.06 micron
	Energy per pulse	1.5 Joules
20	Beam area	$112 \text{ cm}^2$
	Energy density	$3 \mathrm{J/cm}^2$
	Frequency	10 pulses per second

The beam is scanned over the area to be treated with each section of the skin in the area receiving about 5 pulses. The first or second pulses 25 clean substantially all of the mixture from the skin surface by violently fracturing the carbon particles. By observing how many particles remain, the doctor can estimate the degree to which each area has been treated. As shown in FIG. 4A, the initial application of the carbon-oil mixture results in carbon particles being deposited about 20 microns deep in the duct. FIG. 4B 30 represents the results of the first pulse 30 shown in FIG. 4A. A shockwave in the mixture spreads out the mixture for several microns. More important, the

violent fragmentation of the particles sends fragments through the duct. (FIG. 4C shows qualitatively the distribution of particles after about 2 pulses.)

However, with each fragmentation, the particles get smaller (FIGS. 4D and 4E) and after about 4 or 5 pulses 30 through 36 the fragments have essentially disappeared. Essentially all of the energy absorbed by the particles and fragments is transferred to the skin tissue surrounding the hair. The net result is depicted in FIG. 4E. The energy is sufficient to devitalize the tissue feeding the hair so the hair dies. In FIG. 4A through 4E arrow 38 locates the section of skin tissue damaged. Our biopsy tests indicate the thickness of the damaged 10 sections range from zero to about 20 microns. The damage to the tissue appears to be the combined result of both the heating effect of the hot carbon particles and oil and possibly some mechanical damage due to the kinetic energy of the particles and fragments.

We have had excellent results with our human tests. In an early experiment with this improved process on my own leg essentially all hair was removed and after 24 months there has been no significant regrowth. Our clinical trials with facial hair have been on-going for 24 weeks. We have been very conservative in the application of the laser beam, but the results are very good. No significant short term injury to the skin has been observed (only minor redness and in a very few cases some very minor bleeding). No long term injury has been observed. Hair removal success ratio in the treated area has ranged from about 0% to about 90% with the average being about 60%.

# Treatment for Acne and Seborrhea

Our preferred process for treatment of acne and seborrhea is

25 basically the same as the treatment for hair removal and skin peeling. The

import difference being the section of skin treated is one in which the patient
has had problems with acne or seborrhea. Preferably, the treatment is
scheduled when the ducts to over active sebaceous glands are open. The
carbon solution described in the preceding section is applied and caused to

30 infiltrate into the duct leading to the sebaceous glands as shown in FIG. 5A.

10-

The! portion! of! the! sebaceous! glands! is! also! shown! in! FIG.! 1.! Laser illumination! is! substantially! the! same! as! for! hair! removal.! The! carbon! particles within! or! in! the! vicinity! of! the! sebaceous! glands! are! heated! to! vaporization temperatures! which! causes! the! particles! to! fracture! violently! or! vaporize.

5 Energy! released! in! the! process! results! in! full! or! partial! destruction! of epithelium! tissue! making! up! the! surface! of! the! inside! wall! of! the! sebaceous glands! which! tissue,! produces! the! sebum.! This! results! in! either! death! or reduced! effectiveness! of! the! sebaceous! glands! in! the! section! of! skin! treated.

The! consequence! is! a! reduced! sebum! production.! The! consequence! of! reduced

10! sebum! production! is! reduced! levels! of! acne! and! seborrhea.

### **CONFINEMENT! OF! PARTICLES**

Another preferred embodiment for skin treatment is the same as the first! preferred! embodiment! except! that! after! the! carbon-oil! suspension! is placed! on! the! skin! surface,! a! thin! flat! piece! of! glass! (such! as! a! microscope 15 glass)! is! placed! firmly! over! the! suspension! in! order! to! confine! the! small explosions.! Several! pulses! (preferably! about! 1! or! 2)! of! the! laser! beam! are applied!through the glass onto each section of suspension. The effect is to greatly! enhance! the! subsurface! contamination! of! the! upper! layers! of! the epidermis! with! small! particles! of! graphite.! The! effect! is! shown! in! FIGS.! 3K 20! and! 3L! One! or! two! pulses! is! sufficient! to! produce! substantial! subsurface contamination! with! small! carbon! particles.! After! this! application! the! glass! is removed! and! the! process! as! explained! above! for! the! first! embodiment! is continued! until! essentially! all! of! the! graphite! has! been! vaporized.! In! an alternatel embodiment a disposable plastic plate, transparent to the laser beam 25! could! be! used instead of! the glass plate. The! disposable plastic plate! could be madel al part of an articulated arml of the laser or la part of la hand piece attached! to! the! articulated! arm.

-11-

# CO<sub>2</sub> LASER

A third preferred embodiment utilizes a CO<sub>2</sub> pulse laser. Preferred operating parameters are: wavelength 10.6 micron, energy density per pulse 2.5 Joule/cm<sup>2</sup>, pulse diameter 1 cm, pulse duration 50 ns. Laser beams at 10.6 micron have an extinction\_length in skin of about 40 micron because the pulse energy is highly absorbed in water. It is much more highly absorbed in carbon. We estimate an extinction length of 1 to 2 microns.

The process is very similar to that described above. Graphite is applied as above using the ultrasound to force some of the carbon below the 10 surface. The laser pulses are applied as above and to the first two pulses produce similar results cleaning off the two layers of carbon. The third pulse however will in addition to vaporizing carbon below the skin surface will vaporize a thin surface of tissue. Therefore, we get the combined effect of (1) mechanical removal of tissues due to the explosion of particles below the 15 surface and (2) vaporization of a surface layer of epidermal tissue about 2-3 microns thick.

## LIQUID CONTAMINANT

Instead of the carbon oil mixture discussed above, we could use other liquids or suspensions such as India ink. India ink is comprised of very 20 small submicron graphite particles suspended in a liquid such as a water solution of alcohol. We may also use a solution of warm water colored with black food coloring at one part color per fifty parts water. Apply to skin surface with gauze for 10 minutes. The contaminant will infiltrate into the space in the upper layers of the corneum stratum. (These spaces are normally filled with air.) Remove gauze and illuminate with about 1 or 2 pulses per site using a CO<sub>2</sub> laser operating at 10.6 microns and 50 nanosecond duration pulses with an energy density of 2 Joules per cm<sup>2</sup>. These short pulses will deposit sufficient energy selectively to the contaminant solution to vaporize instantly the contaminant tearing off the upper most corneum stratum cells in the skin 30 section.

**-** 12 -

An alternative to this embodiment is to add indocyanine green to the warm water instead of the black food coloring. Indocyanine green absorbs infrared light such as that produced by the Nd:YAG, CO<sub>2</sub>, Alexandrite, Ti: Sapphire and Ga:As diode lasers. Since water is an excellent absorber of CO<sub>2</sub> 5 laser energy, many water based skin lotions could be used with the CO<sub>2</sub> laser.

# REMOVAL OF HAIR (PARTIALLY OR FULLY) FROM DUCT

A preferred embodiment of this invention for hair removal and for acne treatment is shown in FIGS. 5 A, B and C and 6. FIG. 5A depicts 2 hairs on a skin section showing hair stem 33, hair duct 31 and the sebaceous gland

- 10 3& As shown in FIG. 5B the hair is partially removed from the hair duct below the skin surface by chemical depilation. The carbon solution is then applied to the skin section and rubbed into the skin. In this case, since the upper part of the 50 micron diameter hair is gone, there is much more room in the duct for the solution with 1 micron particles as shown in FIG. 5C. The
- 15 skin section is illuminated as discussed above but in this case the process is much more effective for hair tissue destruction and sebaceous gland tissue destruction because there is a far greater quantity of carbon particles initially in the duct.

FIG. 6 shows a hair duct in which the complete hair has been 20 completely removed by a method such as plucking or by extraction with hair extraction wax. Here an even greater quantity of carbon particles can be infiltrated into the duct for even more effectiveness. A good method of removing the hair in preparation for the laser treatment is as follows:

Place a thin layer of super glue on a 2 cm<sup>2</sup> section of a glass

25 microscope slide. After five seconds place the treated section of the slide on
the skin area to be treated. Leave on the skin for 30 seconds. Lift the slide.
This will pull out all hairs by the roots. The ducts can then be infiltrated with
contaminant as discussed above.

- 13 -

## OTHER EMBODIMENTS

Persons skilled in the laser-medicine art will recognize that many other lasers-contaminant combination could be used to practice this invention. The important attributes of the combinations are:

- 5 1) The contaminant must be very highly absorptive of energy at the wavelength of the laser beam when using small particles, the particles preferably should be smaller than 10 microns.
  - 2) The laser beam preferably is a pulsed beam with very short pulses (pulse duration of less than 1 microsecond).
- 10 3) The contaminant should be capable of being infiltrated into spaces in the upper layers of the skin.
  - 4) The contaminant should explode with sufficient energy upon absorption of the laser energy to produce the desired results.

Applicants have tested acrylic tattoo inks which have been approved by FDA for tattoo use. Black and blue tattoo inks marketed by Spaulding and Rogers appear to work well with a Nd:YAG laser operating at 1 Hz, 1.06 micron with an energy density of about 3 J/cm<sup>2</sup>. We had less success with other colors. Applicant has also performed experiments using black powder which is a very finely ground mixture of potassium nitrate, carbon and sulfur.

20 This mixture explodes chemically when illuminated with 10 ns, 2 J/cm<sup>2</sup> Nd:YAG laser pulses. The portions of the above chemicals in black powder may be about 75% KNO<sub>3</sub>, 15% carbon and 15% sulfur.

While the above description contains many specifications, the reader 25 should not construe these as limitations on the scope of the invention, by merely as exemplifications of preferred embodiments thereof: Those skilled in the art will envision many other possible variations are within its scope.

Accordingly the reader is requested to determine the scope of the invention by the appended claims and their legal equivalents, and not by the examples which have been given.

-!14!-

PCT/US96/10155

Welclaim:

25

WO 96/41579

- 1. A! skin! treatment! process! comprising! the! steps! of:
- a. topically **applying** to!a! section! of! skin!a! contaminant! having! a high! absorption! at! at! least! one! frequency! band! of! light! which! penetrates! outer 5! layers! of! human! epidermis,
  - b. forcing! some! of! said! contaminant! to! infiltrate! into! spaces! in **the! skin! and**
- c. illuminating said! section! of skin! with! pulses! of said! at! least one **frequency! band** of light,! so as! to! impart! to! the! contaminant! sufficient 10! energy! to! cause! at! least! a! portion! of said! infiltrated! contaminant! to! explode.
  - 2. Al process! asl in! Claim! 1! wherein! said! contaminant! comprises a! large! number! of! carbon! particles.
- 3. Al process! as! in! Claim! 1! wherein! an! ultrasound! device! is utilized! to! force! said! some! of! the! small! carbon! particles! to! infiltrate! into! said 15! spaces.
  - 4. Al processi asi in! Claim! 1! wherein! an! explosion,! defining! a forcing! explosion,! of! al portion! of! said! contaminant! is! utilized! to! force! another portion! of! said! contaminant! to! infiltrate! into! said! spaces.
- 5. Al process! as! in! Claim! 1! wherein! al confinement! means,
  20! transparent! to! said! at! least! one! frequency! band! of! light! is! placed! firmly! over
  said! topically! applied! contamination! for! the! duration! of! said! forcing! explosion
  for! the! purpose! of! confining! said! forcing! explosion.
  - 6. Al process! asl in! Claim! 5! wherein! said! confinement! means! is! a glass! plate.
  - 7. Al processi asi in! Claim! 5! wherein! said! confinement! meansi isi a plastic! plate.
    - 8. Al processi asi ini Claimi 7! whereini saidi plastici platesi isi al part ofi ani articulatedi arm.

- 15!-

PCT/US96/10155

WO 96/41579

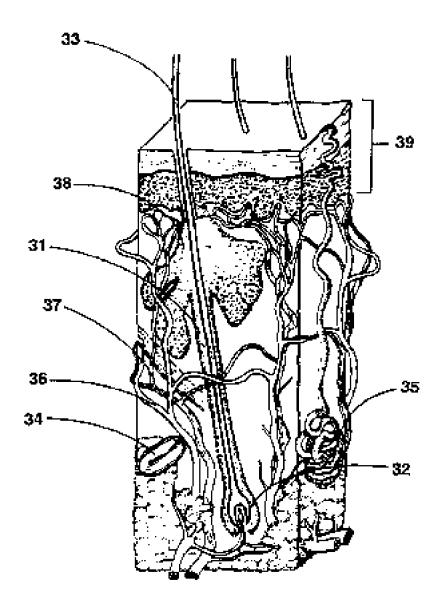
5

9. Al processi asi ini Claimi 1! whereini saidi smalli carboni particles arei smalli graphitei particles.

- 10. Al process! asl in! Claim! 9! wherein! said! small! graphite! particles are! mixed! with! an! oil.
- 11. Al processi asi ini Claimi 10 wherein said oil is baby!oil.
- 12. A process as in Claim! 2 wherein said! small carbon! particles have! major! dimension! of! about! 1! micron.
- 13. Al process! asl in! Claim! 2! wherein! said! laser! pulses! are <u>prises</u> from **a** Nd:YAG! laser.
- 10 14. Al process! as! in! Claim! 2! wherein! said! laser! pulses! are! pulses from! a! CO<sub>2</sub> laser.
  - 15. A! process! as! in! Claim! 1! wherein! said! spaces! in! said! skin comprise! spaces! in! hair! ducts! in! said! skin! not! occupied! by! hair.
- 16. A! process! as! in! Claim! 15! wherein! said! at! least! one! of! said
   15 pulses! has! sufficient! energy! to! destroy! tissue! feeding! hair! growing! in! said! hair ducts.
  - 17. Al process! as! in! Claim! 15! comprising! the! additional! step! of removing! from! said! ducts! al portion! of! al plurality! of! hairs! in! said! skin! section prior! to! applying! said! contaminant.
- 20 18. Al process! asl in! Claim! 15! comprising! the! additional! step! of removing! from! said! ducts! substantially! all! of! al plurality! of! hairs! in! said! skin section! prior! to! applying! said! contaminant.
  - 19. Al process! as! in! Claim! 1! wherein! said! spaces! in! said! skin comprises! spaces! between! superficial! epidermal! skin! cells.
- 25. 20. Al process! asl in! Claim! 1! wherein! said! spaces! in! said! skin comprises! spaces! within! sebaceous! glands.
  - 21. A! process! as! in! Claim! 2! wherein! said! spaces! in! said! skin comprise! spaces! adjacent! to! sebaceous! glands.
- 22. Al process! asl in! Claim! 1! wherein! said! contaminant! is! a 30! chemical! explosive.

-! 16! -

- 23. Al process! as! in! Claim! I! wherein **said!contaminant! is! black** powder.
- 24. A! process! as in Claim! 1! wherein said! contaminant is a mixture! of! potassium! nitrate,! carbon! and! sulfur.



**FIG.** 1

SUBSTITUTE!SHEET!(RULE!26)

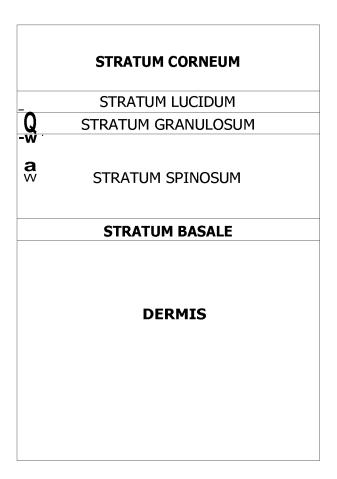
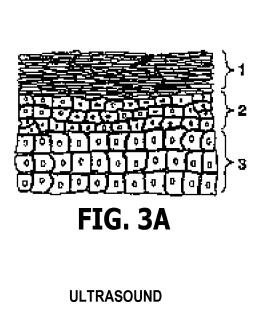


FIG. 2



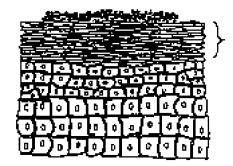
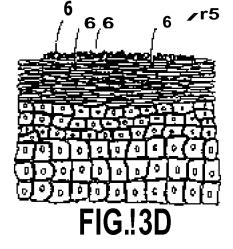
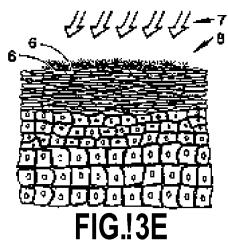


FIG. 3B







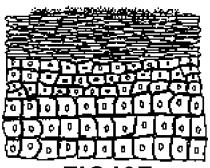
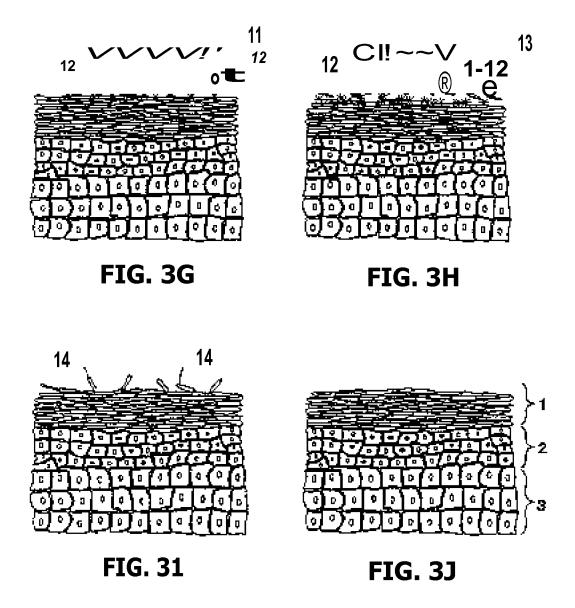
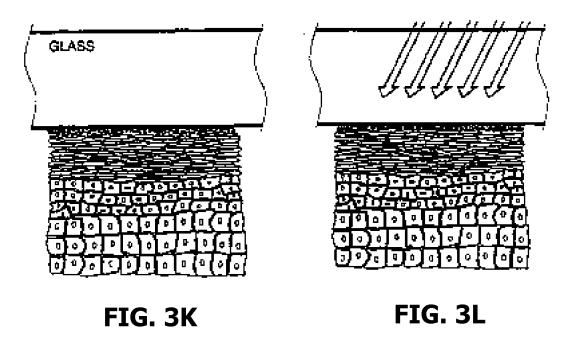
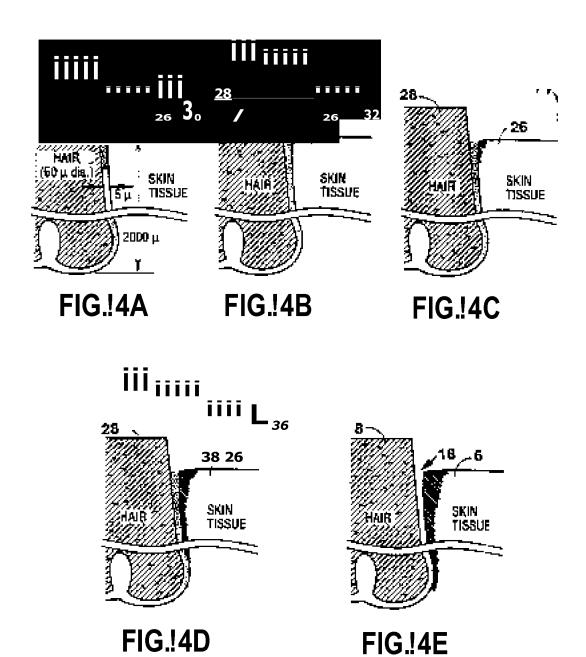


FIG.!3F

SUBSTITUTE!SHEET!(RULE!26)







7/8

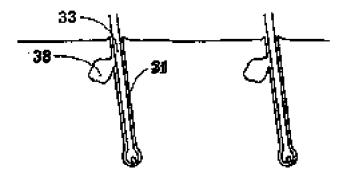


FIG. 5A

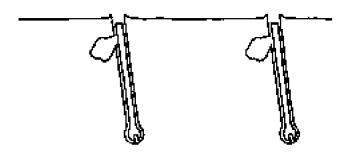


FIG. 5B

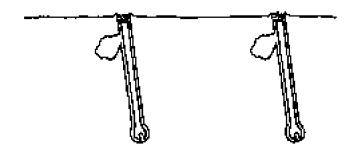
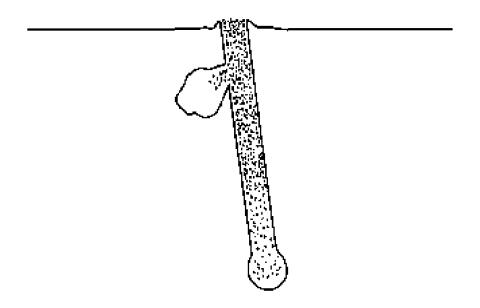


FIG. 5C

I



**FIG.** 6

### INTERNATIONAL SEARCH REPORT

International!application!No.

PCP/US96/10155

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61B!17/36 US!CL :606/9

According to International Patent Classification!({PC)!or!to both|national|classification and!IPC

## B. FIELDS SEARCHED

 $\label{lem:lem:minimum} \textbf{M} in imum! documentation! searched! (classification! system! followed! by! classification! symbols)$ 

U.S. : 128/898; 606/9, 131

Documentation!searched!other!than!minimum!documentation!tolthelextent!that!such!documents!arclincluded!in!thelfields!searched

Electronic data base consulted during the international search!(name of data/base and, where practicable, search!terms!used)

### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document,!with!indication,!where appropriate, of the!relevant passages	Relevant!to!claim $N_0$ .
A	US, A, 3,769,963 (GOLDMAN ET AL.) 06 November 1973, see entire document.	1-24
A	US, A, 5,059,192 (ZAIAS) 22 October 1991, see entire document.	1-24

	Further documentslare listed in!thelcontinuation of Box (	). L.J	See!p	patent family!annex.		
•	Speedlcategorieslofldyedldoelmrau:		kw!docu	ment publi boilafter the ot	ntitioad!Ming!date!or!priority	
A'	doetnamtdefoi,g!the!gammatlatsseloflthelan!which!.!motl000aiderod tolbe!putloflparticular!relevance		date!sod! priocipk!	not!ialooattictwith!the!appGn or!theory!underlying!the!inve	ntitioad! Ming!date! or! priority tioabut! vita!! to understand! the ention	
	earlierldoammtlpublihedlas or afterltheloteraatiotsallfilingldace	urlier!doammt!publihed!as or after!the!oteraatiotsal!filing!dace document!of!par		ntloffparticular!relevance;!tl	particular!relevance;!the!dolmad!nva!ion!armor!be !!or!aaooat!be!oaaaiderad!to!involve!as!inventive <i>atop</i>	
t.'	document which may throw doubts an priority can(s) lor which lis		what theldocumentis tam stoat			
	document which may! throw doubts an priority can(s) lorlwhich lis  as mt. the ptblication date! off another lelation! orlother rbirases! (r specified)	'Y'	daatmina of particular relevance; the clamed invention lcannot be considered to evolvel an laventivel step lwhets lthe ldocument lis combined with melor more other width documents, and sloom bring obviot tea person akfiled mithelart			
'0'	document!referring!to!an!oral!disclosure.!use.!exhalation!or!other meals				Idocuments, and sloom bination nelart	
'P'	doameatlputt hadlprior tolthe arraaaeaallflog datelbutlWarlthan thelpriorityldatelclaimed	•e•	daameat	memberlofithelsine patent	family	
Date	Date of the actual completion of the linternational search		Date of mailing of the international search report			
25 JULY 1996		,	/	), 5 AUG	1995	
Nameland!mailing!address of the ISA/US Commissionerlof!Patents!and!Trademarks		Autharry	ed	`!.		
BoxIPCT Washington,ID.C.!20231		K.!DAWSON				
Facsi	mile!No. (703) 305-3590	elepho	ne!No.	(703) 308-4304		

1\*